JAP3 Rec'd PCT/PTO 16 FEB 2008

FORM PTO 1390

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

ATTORNEY DOCKET NUMBER 2006-0162A

us application no comment of the com

International Application No. PCT/JP2004/014157

International Filing Date September 28, 2004

Priority Date Claimed September 30, 2003

Title of Invention

POWER GENERATING WIND TURBINE

Applicant(s) For DO/EO/US Masaaki SHIBATA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [X] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. [X] is transmitted herewith (required only if not transmitted by the International Bureau). ATTACHMENT A
- h b. [] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6: [X] A translation of the International Application into English (35 U.S.C. §371(c)(2)). ATTACHMENT B
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [X] An (EXECUTED) oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). ATTACHMENT C
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT D
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

ATTACHMENT E

- 13. [X] A FIRST preliminary amendment. ATTACHMENT F
 - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information:

Notification Concerning Submission or Transmittal of Priority Document - ATTACHMENT G

U.S. APPLICATION NO. 01/5 10 10 10 10 10 10 10 10 10 10 10 10 10				TION NO.	ATTORNEY'S DOCKET NO. 2006-0162A	
15. [X] The following fees are submitted					CALCULATIONS	PTO USE ONLY
Basic National Stage Fee \$300.00 National Stage Search Fee \$400.00 National Stage Examination Fee \$200.00 Specification/drawings in excess of 100 pages (units of 50 x \$250.00) = \$						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$900.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$	
Claims	Number Filed	Number	Extra	Rate		
Total Claims	8 -20 =			X \$50.00	\$	
Independent Claims	2 - 3 =			X \$200.00	\$	
Multiple dependent claim(s) (if applicable) + \$360.00					\$	
TOTAL OF ABOVE CALCULATIONS =					\$900.00	
[] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.					\$	
SUBTOTAL =					\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
TOTAL NATIONAL FEE =					\$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +					\$40.00	·
TOTAL FEES ENCLOSED =					\$940.00	
					Amount to be refunded	\$
					Amount to be charged	\$
a. [X] A check in the amount of \$940.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
 c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975. 						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
19. CORRESPONDENCE ADDRESS						
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Febr					ruary 16, 2006	

ICHECK NO. 72600 [2006-0162A]

Declaration

I, Masaru Hiraoka, of 1-48-20, Shin-Sakuragaoka, Hodogayaku, Yokohama-shi, Kanagawa-ken, Japan, declare that:

I am a citizen of Japan and a graduate of Waseda University in Tokyo, Japan:

I am familiar with both the Japanese and English languages;

I have read international Application No. PCT/JP2004/014157 filed on September 28, 2004 and understand the subject matter of said application.

The attached paper constitutes a true and accurate English translation of said international application.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine of imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Masaru Hiraoka

Dated this 27th day of January, 2006

M Hiraoka

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masaaki SHIBATA et al.

Mail Stop: PCT

Serial No. NEW

Attorney Docket No. 2006-0162A

Filed February 16, 2006

POWER GENERATING WIND TURBINE [Corresponding to PCT/JP2004/014157 Filed September 28, 2004]

SUBMISSION OF ENGLISH/JAPANESE **DECLARATION AND POWER OF ATTORNEY**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The attached Declaration and Power of Attorney includes both Japanese language text and English language text. The English language text constitutes an accurate translation of the Japanese language text.

Respectfully submitted,

Masaaki SHIBATA et al.

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT **ACCOUNT NO. 23-0975**

Nils E. Pedersen

Registration No. 33,145 Attorney for Applicants

NEP/krg Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 February 16, 2006